



**IRONSHORE INDEMNITY, INC.**  
(A Stock Company)

**COPYRIGHT, PATENT AND TRADEMARK SUPPLEMENT**

**Firm Name:**

**Policy Number:**

Answers are required for questions 1 through 5 for all Applicants. Complete the remaining questions that apply to the firm's specific practice. If one or more of the sections is not applicable, check the "Not Applicable" box in that section.

1. Provide a breakdown of the firm's copyright, patent and trademark practice into the following categories:

- a. Intellectual Property Litigation \_\_\_\_\_ %
- b. Patent Infringement Counseling \_\_\_\_\_ %
- c. Domestic Patent Prosecution \_\_\_\_\_ %
- d. Foreign Patent Prosecution \_\_\_\_\_ %
- e. Trademark Registration/Licensing \_\_\_\_\_ %
- f. Copyright Registration/Licensing \_\_\_\_\_ %
- g. Patent Searches \_\_\_\_\_ %

2. Does the firm have a computerized docketing system to alert the appropriate responsible party specific to:

- a. statutory bar dates .....  Yes  No
- b. fee due dates, whether outsourced or not? .....  Yes  No
- c. response dates? .....  Yes  No

3. Who reviews the docket entries for accuracy? **Check all that apply.**

- Billing Partner  Partner in Charge of Work  Associate
- Paralegal Secretary  Secretary  Docketing Personnel

4. Does the firm outsource to other entities for:

- a. Searches .....  Yes  No
- b. Payment of Maintenance/Annuity fees? .....  Yes  No

If yes, to either a. or b. above, does the firm:

- i. Verify the outsource entity carries professional liability insurance coverage .....  Yes  No
- ii. Obtain proof of insurance, such as a certificate of insurance .....  Yes  No

5. How does the firm choose an outsource entity? **Check all that apply.**

- Review of Work  Product Recommendations from Other Law Firms
- Yellow Pages  Advertisements in Legal Publications/Law Journal

**Copyright**

Not Applicable

6. Does the firm's docket system include dates for:

- a. Copyright renewal filing? .....  Yes  No
- b. responses to an Office Action? .....  Yes  No
- c. infringement action filing? .....  Yes  No

7. What is the firm's standard time frame for applying for copyright registration on behalf of their client, once instructed to do so by the client?

8. Is transfer of ownership of copyright from one client to another fully documented in writing? .....  Yes  No

**Patent**

Not Applicable

9. Does the firm request written disclosure of specific dates of all printed Publications, sales, offers for sale and/or public use of intellectual Property from a client, prior to filing of a patent applicable? .....  Yes  No

10. Does the firm request in writing, from all patent clients, the client's intent to pursue or not to pursue a foreign patent application? .....  Yes  No

11. Does the firm request in writing, from all patent clients, the client's disclosure of patent applications filed in foreign countries? .....  Yes  No

12. Does the firm advise foreign clients of requirements needed to satisfy the Establishment of the date of invention of U.S. Patents? .....  Yes  No

13. Does the firm disclose in writing to all patent clients, all dates for Payment of maintenance fees, annual payments, or annuities to be paid by the client to keep an application or patent in force? ...  Yes  No

14. Does the firm advise the client in writing to mark the patented product with the appropriate patent number? .....  Yes  No

15. Indicate the percentage of the types of Patent Opinions rendered by the firm:

- a. Patentability                    %
- b. Infringement                    %
- c. Validity                    %

16. For the types of patent opinions rendered, does the firm disclose the Scope and extent of the search conducted that is the basis for the opinion?.....  Yes  No

17. Does the firm guarantee patent opinions rendered?.....  Yes  No

18. Does the firm disclose in writing to the client and require the client's written agreement regarding patent applications and strategies taken or to be taken with respect to the GATT Implementation Legislation of June 8, 1995?.....  Yes  No

**Trademark**

Not Applicable

19. Does the firm's docket system advise regarding date for:

- a. response to all PTO actions? .....  Yes  No
- b. declaration of use after registration? .....  Yes  No
- c. statement of incontestability after registration? .....  Yes  No
- d. renewal of trademark? .....  Yes  No

20. Does for firm:

- a. perform searches of the records of the PTO for trademarks? .....  Yes  No
- b. search common law sources, such as publications and business indices for existing trademarks?  
.....  Yes  No
- c. statement of incontestability for registration? .....  Yes  No
- d. renewal of trademark? .....  Yes  No

- 21. Does the firm advise that the trademark search is not guaranteed against all common law sources?  
.....  Yes  No
- 22. Is transfer of ownership of trademark from one entity to another fully documented in writing? ...  Yes  No
- 23. Are all trademark assignments promptly and properly recorded with the PTO? .....  Yes  No
- 24. Does the firm advise the client in writing of the use of proper trademark notice? .....  Yes  No

X \_\_\_\_\_  
Signature of Owner, Partner, or Principal

Title

Date